

## CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.  
 (Only for continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX: if applicable

☐ **DUPLICATE****ADDRESS TO**

**Assistant Commissioner for Patents  
 Box CPA  
 Washington, DC 20231**

Attorney Docket No.

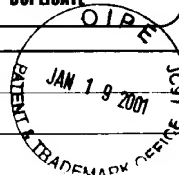
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First Named Inventor

Linda A. SHERMAN et al

Total Pages

2



This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d),

(continued prosecution application (CPA)) of prior application number 08/812,393,

filed on May 5, 1997, entitled RECOMBINANT CONSTRUCTS ENCODING T CELL RECEPTORS SPECIFIC FOR HUMAN HLA-RESTRICTED TUMOR ANTIGENS.

**NOTES**

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), 1995, or (2) the national stage of an international application in compliance with 35 U.S.C. 371.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under CFR 37 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

1. ☒ Enter the unentered amendment previously filed on November 30, 2000 under 37 CFR 1.116 in the prior nonprovisional application.
2. ☐ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application. 37 CFR 1.53(d):
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
   
.....
   
.....
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed
5. ☐ Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ PTO-1449
  - b. ☐ Copies of IDS Citations

JAN 25 2001  
 RECENT CENTER 1000/2000

| CLAIMS | (1) FOR  | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE                      | (5) CALCULATIONS |
|--------|--|------------------|------------------|-------------------------------|------------------|
|        | TOTAL CLAIMS<br>(37 CFR 1.16(c))   | 6-20 =           | 0                | X \$18.00 =                   | \$0              |
|        | INDEPENDENT<br>CLAIMS<br>(37 CFR 1.16(b))                                  | 1-3 =            | 0                | X \$80.00 =                   | \$0              |
|        | MULTIPLE DEPENDENT CLAIMS<br>(if applicable) (37 CFR 1.16(d))              |                  |                  | + \$270.00 =                  | \$0              |
|        |  |                  |                  | BASIC FEE<br>(37 CFR 1.16(a)) | \$710.00         |
|        |  |                  |                  | Total of above Calculations = | \$710.00         |
|        | Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28). |                  |                  |                               | \$605.00         |
|        |  |                  |                  | TOTAL =                       | \$605.00         |

6.\* Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to  
**Deposit Account No. 03-1952** (reference docket no. 3133332000100):

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

8. ☒ A check in the amount of \$605.00 is enclosed (including EOT Fee). **small entity**

9. ☒ Other: Petition for Extension of Time (Third-month).....  
.....

**NOTE:**

*The prior application's correspondence address will carry over to this CPA  
UNLESS a new correspondence address is provided below.*

**10. NEW CORRESPONDENCE ADDRESS**

Thomas G. Wiseman  
Registration No. 35,046

Morrison & Foerster LLP  
2000 Pennsylvania Avenue, N.W.  
Washington, D.C. 20006-1888  
Telephone: (202) 887-1678  
Facsimile: (202) 887-0763

Dated: January 19, 2001

Respectfully submitted,

By: 

Thomas G. Wiseman

**CERTIFICATE OF HAND DELIVERY**

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on  
January 19, 2001.

  
LaVene Whetstone